

Appendix B(2) – Details of Red/Amber risks (Port Health & Environmental Services Committee)

DBE/TPCL/1

Department of the Built Environment / Transportation & Public Realm / Cleansing

Major contractor goes into liquidation before selling business as a going concern

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Unmitigated ... Impact 4 Likelihood 2 Risk 17

Status Review signed off on 20 Aug 12 by Steve Presland
Review due by 19 Nov 12

Detailed Risk(s)

Specific Risk	Mitigation
Disruption to some commercial waste collections	Draw up Contingency Plan
Disruption to vehicle maintenance, including to City of London Police vehicles)	Draw up Contingency Plan
Disruption to street cleansing	Draw up Contingency Plan
Disruption to household refuse collection services	Draw up Contingency Plan

Mitigated ... Impact 4 Likelihood 2 Risk 17

Further Action Continue to monitor major contractor accounts - if cash flow issues worsen, make detailed contingency plans. With regard to the waste contractor vehicles and premises are contractually secure, so plans would involve mainly personnel.

A fatal road accident

An accident involving a Member/employee/contractor on City of London business leading to a fatality. Possibility of a corporate manslaughter case against the Corporation.

Unmitigated ... Impact 4 Likelihood 3 Risk **18**

Status Review signed off on 20 Aug 12 by Steve Presland
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Detailed Risk(s)

Specific Risk	Mitigation
Insurance incorrect	Implement policy
Vehicle inadequately maintained	Implement policy
Incorrect driving licence	Systematic checking procedure now partly in place
Staff driving whilst under stress or unduly tired.	Implement policy

Mitigated ... Impact 4 Likelihood 2 Risk **17**

Further Action Liase with HR and trade unions to review drink/drug policies.

Fully implement driving licence checking procedure.

Service failure by major contractor

Some aspect of service delivery could persistently fail to meet expectations either because tendered method statement unable to cope with actual needs or because the needs have changed since tendering.

Unmitigated ... Impact 3 Likelihood 4 Risk **16**

Status Review signed off on 20 Aug 12 by Steve Presland
Review due by 19 Nov 12

Detailed Risk(s)

Specific Risk	Mitigation
To be identified through contract monitoring, including Partnership Board.	Specific to any area identified

Mitigated ... Impact 3 Likelihood 2 Risk **10**

Further Action Review as part preparations for each Quarterly Partnership Board.